Risk, Control & Compliance 2008

Strengthening the value proposition of the internal control process and establishing greater assurance in risk management and compliance

Hotel Imperial, Kuala Lumpur
12th & 13th May 2008

“There are two big forces at work, external and internal. We have very little control over external forces such as tornadoes, earthquakes, floods, disasters, illness and pain. What really matters is the internal force. How do I respond to those disasters? Over that I have complete control.”

Leo F. Buscaglia

Develop superior internal control with a strong internal audit team, greater risk assurance and compliance within the corporate environment

Benefits of attending:

• Integrating the scope of internal audit and business risk management in improving operational performances
• Keeping up with the changes in business regulations, corporate governance and meeting compliance
• Identifying informational risk and incorporation of security into IT governance framework
• Elevating the Internal control role in anti fraud programs
• Achieving the balance between efficiency and compliance to get maximum benefits
• Applying best practice approach to help identify current and future states of risk
• Reassessing the efficiency and effectiveness of current internal process in place

Some past delegates have to say:

“The conference was useful as it provided good insights of methodologies and practice’s adopted by reputable companies; to which I can take back with me to my organisation for greater corporate governance.”
Maxis Communications Berhad

“Excellent event and benefited a lot on the topics discussed.”
Carlsberg Brewery Malaysia Berhad

“The speakers enlisted are very experienced and impressive. Meaningful and practical case studies for selected ones. Gain a lot of insights pertaining to risk management and auditing.”
National Audit Department.

“Attending this conference has given me additional knowledge and information in my field of work right now and will immediately apply to those that I believe would have immediate impact. Honestly, I look forward to attending other conferences arranged by marcus evans.”
Banco De Oro - EPCI Inc.

Your distinguished panel of speakers:

Erik Wilgenhof Plante Assistant Vice President, Team Leader Group Compliance & Regional Transaction Surveillance Centre
ABN Amro Asia

John Bree Regional Director-AML & GTS Risk
Citibank, Asia Pacific

Debmalya Maitra Head of Audit, Risk & Compliance
Aegon Religare Life Insurance Company Ltd.

Myleen Dy Alcid Fraud Risk Investigation Leader
GE Money Bank

Arry Basuseno Senior Vice President & Regional Manager Risk Management
Mandiri Bank

Toh Chin Hock Head of Internal Audit
Sembcorp Marine Ltd

Edward Ng Adjunct Finance Professor
Singapore Management University

Raja Azura Raja Mahayuddin Chief Internal Audit, Audit & Business Advisory
Malaysia Airlines

Chow Chee Yan Chief Internal Audit
Maxis Communications Berhad

Nur Azmin Abu Bakar General Risk Management
Petronas National Berhad

Carl Rajendram Director of Training
ACFE Malaysia

Noriswadi Ismail Group General Counsel/Company Secretary & Vice President, Corporate Services
HeiTech Padu Berhad

Tho Yow Suen Group Chief Internal Audit
MBF Holdings Berhad

The delegation will be accredited with 12 CPD unit from the Association of International Accountants

Endorser

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Fortify the Internal Audit Function

Session One
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**Strengthening the role of internal audit in corporate governance and its rising responsibility in business regulation**

The recent focus on promoting good corporate governance has highlighted the critical role of internal auditors in assisting the board of directors to discharge its governance responsibilities. This creates an opportunity for internal audit to become a more active and strategic team player. Yet, how does the audit committee satisfy its function and ensure efficiency and effectiveness? This session will highlight the following issues:

- Adapting to the growing responsibility of internal auditors in corporate governance & compliance
- Staying efficient and up to date with the changes in corporate regulations
- Overcoming the on-going challenge of management buy-in

**Presented by**

Raja Azura Raja Mahayuddin, Chief Internal Audit, Audit & Business Advisory, Malaysia Airlines

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Session Two
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**Complementing the role of internal audit and risk management in supporting the control process of the organisation as a whole**

The link between internal audit and risk management is becoming increasingly important. Internal audit plays a key part in the lifecycle of risk management. Organisations are building risk management into everyday business process and moving towards a more continuous auditing model. The only way to do that is to be more embedded with the business processes, which results from greater collaboration.

- Understanding the differences and similarities between the world of audit and risk management
- Developing a system of integrated and effective communication between the two departments
- Utilising a standard set of metrics and measures in ensuring effective and efficient risk analysis

**Presented by**

Chow Chee Yan, Chief Internal Audit, Maxis Communications Berhad

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Session Three
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**Internal audit's role in ensuring the effectiveness of audit committee**

- Capitalising on the internal audit's function to enhance the role of the audit committee
- Methodology in establishing an effective framework to enhance the audit committee function and effectiveness
- Methods of evaluating the effectiveness of the audit committee based on international best practice

**Presented by**

Edward Ng, Adjunct Finance Professor, Singapore Management University

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Session Four
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**Understanding the implications of audit's role in Basel II implementation**

One of the most difficult aspects of implementing an international agreement is the need to accommodate differing cultures, varying structural models, and the complexities of public policy and existing regulations.

- Knowing the impact of Basel II implementations on local operations and how to adapt to it
- Redefining the roles of internal auditors and board of directors with the new framework
- Realising the potential challenges and possible strategies of overcoming it

**Presented by**

Toh Chin Hock, Chief Internal Audit, Sembcorp Marine Ltd

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Session Five
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**Integrating ethics as a precursor for control and compliance**

A high-performing compliance and ethics program is best organized as an integrated capability assigned to business functions/units while managed and overseen by individuals with overall responsibility and accountability. Monitoring and maintaining compliance is not just to keep the regulators happy, it is one of the most important ways for an organization to maintain its ethical health, support its long-term prosperity, and preserve and promote its values.

- Determining the level of individual ethics within an organization
- Achieving the balance between efficiency and compliance to get maximum benefits

**Presented by**

Erik Wilgenhof, Plante Assistant Vice President, Team Leader Group Compliance & Regional Transaction Surveillance Centre, ABN Amro Asia

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Session Six
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**Evaluating the effectiveness of the audit team in delivering business objectives with an appropriate “Control Self Assessment” in place**

- Reassessing the effectiveness of your internal control framework for continuous improvement
- Adapting to a changing environment and overcoming challenges in legislation, governance and relevance to business
- Enhancing the value proposition of the internal control’s objective

**Presented by**

Thoh Chinock, Head of Internal Audit, Malaysia Airlines

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Session Seven
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**Building a strong and sustainable internal control environment that does not fall into complacency**

- Understanding the importance of having self assessment in place
- Selecting and implementing the appropriate self-assessment technique for your organization
- Ensuring completeness of business objectives covered
- Designing effective process of identification and reporting of risk and controls

**Presented by**

Tho Yow Suen, Group Chief Internal Audit, MBB Holdings Berhad

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Session Eight
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Session Ten
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**Presented by**

Erik Wilgenhof, Plante Assistant Vice President, Team Leader Group Compliance & Regional Transaction Surveillance Centre, ABN Amro Asia
Day 2

Tuesday 13th May 2008

0830 Registration & Morning Coffee
0900 Opening and welcome remarks by the Chairperson

0915 Session One
Realising the practical realities to enterprise risk management implementation

An enterprise risk management framework is geared towards achieving an entity's objectives in terms of strategic, operations, reporting and compliance. It is a multidirectional process in which almost any components can and does influence another. The success of the implementation depends on the way management runs an enterprise and how ERM is integrated with the management process.

- Revealing the assurance and consulting role of internal auditors and risk management in adding value to ERM
- Discussing the complexities and internal requirements to implementing and sustaining ERM successfully
- Demonstrating the cost-benefit of risk management effort
- Ensuring efficient risk coverage by all entities

Arry Basuseno SVP & Regional Manager Risk Management

Mandiri Bank

1000 Session Two
Formulating a foolproof corporate assurance plan and strong risk infrastructure for your organisation

Providing a business assurance plan as a part of the risk management function. While all activities eventually result in assurance to stakeholders, the plan and how the two functions gel together is vital to the organisation.

- Considering the key factors in setting up an assurance plan
- Providing a comprehensive coverage of assurance to stakeholders
- Integrating the risk management function into the corporate assurance framework

Nur Azmin Abu Bakar General Risk Management

Petronas National Berhad

1045 Morning refreshments and networking break

1115 Session Three
Conducting effective risk assessment via the right set of measurement metrics and controls in place

Risk assessment may be the most important step in the risk management process, and may also be the most difficult and prone to errors. The fundamental difficulty in risk assessment is determining the rate of occurrence since statistical information is not available on all kinds of past incidents. Furthermore, evaluating the severity of the consequences (impact) is often quite difficult for immaterial assets. Asset valuation is another question that needs to be addressed. Thus, best educated opinions and prioritised primary risks are easy to understand and that the risk management decisions may be prioritised.

- Formulating a well defined analysis format
- Establishing an effective qualitative and quantitative risk assessment using a common language
- Ensuring completeness of risk identification and evaluation
- Understanding the interdependence of causes and impact of risk to identify the root cause

Debmalya Maitra Head of Audit, Risk & Compliance

Aegon Religare Life Insurance Company Ltd.

1200 Session Four
Intellectual property risk management; strategies for internal controls and compliance

Intellectual Property (IP) is an invaluable asset. The challenges to protect, exploit and commercialise IP are phenomenal. Without having an effective and strategic internal controls and compliance, ideas and innovation will be inhibited and undermined. This session will explore key strategic areas that should be considered whilst managing your intellectual property portfolio.

- Understanding the fundamentals of IP
- Managing risks in intellectual property
- Internal controls and compliance strategies for IP
- Business growth versus compliance; some risk management concerns

Arry Basuseno SVP & Regional Manager Risk Management

Mandiri Bank

1245 Networking Luncheon

1345 Session Five
Enhancing business development and customer service through a holistic approach to fraud and money laundering avoidance

- Applying a horizontal strategy to effectively integrate loss avoidance and regulatory compliance into business development programs
- Knowing your customer is the common thread and supports increased product opportunities through better understanding of your customers needs
- Conducting a comprehensive review of a customer’s information to ensure that regulatory and corporate identification requirements are achieved

John Bree Regional Director-AML & GTS Risk

CityBank, Asia Pacific

1445 Session Six
Structuring an internal investigation and data analysis programme in controlling fraud activities

An increasing number of companies and organizations are being victimized by internal fraud. Despite the aggressive prosecutions and tough new laws designed to prevent a repeat of the deception that cost shareholders, investors and employees billions of dollars, fraud in the workplace is alive and well in the post-eran era. From the boardroom to the boardroom, employees are still busy stealing from their employers and shareholders. How can you prevent the losses of your organisation by ensuring the accuracy of the collection of data from a wide variety of sources and its input into appropriate data management system?

- Highlighting the role of internal auditor's role in managing fraud
- Monitoring the policy compliance and adherence in an ongoing manner
- Understanding the appropriate actions when confronting employees suspected of engaging in fraudulent activities
- Overcoming issues related to management overriding important framework controls, manipulating financial reporting
- Detecting and investigating fraud cases with computer aided data analysis techniques

Myleen Dy Alcid Fraud Risk Investigation Leader

GE Money Bank

1545 Afternoon refreshment and networking break

1615 Session Seven
Aligning the corporate fraud and operational risk initiatives with the enterprise wide framework

Corporate scandals and diminished confidence in financial reporting among investors and creditors have renewed corporate governance as a top-of-mind priority for boards of directors, management, auditors, and stakeholders. At the same time, the number of companies trying to manage risk across the entire enterprise is rising sharply. So, we ask, how can enterprise risk management be integrated effectively with fraud and risk initiatives?

- Considering fraud as an integral part of overall risk management
- Evaluating the suitability of the corporate policies as means of proactive fraud prevention
- Reviewing organisational and governance alignment for execution and accountability
- Shifting towards a top-down risked based approach that meet shareholders and regulatory requirements

Pear Rajendran Director of Training

ACFE Malaysia

1715 Closing remarks from the Chairperson and end of conference

About the Endorser

ISACA is an international not-for-profit organization serving the IT assurance, information security management and IT governance community. ISACA has 43,000+ members in 100 countries representing 170+ local chapters. ISACA Malaysia is actively involved in developing the IT control and audit profession, and promoting IT Governance in Malaysia.

The CMA Program is a globally recognized program of the Institute of Certified Management Accountants (ICMCA) of Australia that grants the most respected and highly sought-after designation in the business here and abroad: the Certified Management Accountant (CMA). For details, please email info@cmaphilippines.com or call 687-9856 or visit http://www.cmaphilippines.com

The Association of International Accountants (AIA) was founded in the UK in 1928 as a professional accountancy body and from conception has promoted the concept of ‘international accounting’ to create a global network of accountants in over 85 countries worldwide. The AIA professional qualification is currently recognised in over 30 countries worldwide. http://www.iaaworldwide.com

Who should attend

CFOs, Chief Risk Officers, Vice Presidents, Directors, Controllers, General Managers, Heads of Departments, Managers, Specialists, Consultants, Auditors, and Accountants from

- Internal Control
- Finance
- Risk Management
- Legal
- Business / Corporate / Strategy Planning
- Corporate Governance
- Technology
- Automotive
- Plantations
- FMCG

Internal Audit
Accounting
Risk Analysis
Business Continuity / Disaster Recovery
Compliance
Financial Planning

From the following industries:

Banking & Financial
Property
Telecommunications
Insurance

- Banking & Financial
- Property
- Telecommunications
- Utilities
- Electrical & Electronics
- Oil and Gas
- Manufacturing
- Cross Industries

Secondary market:

- Consultants
- Forums and Associations
- Vendors and Solution Providers

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**Date:** 12th & 13th May 2008
**Venue:** Hotel Imperial, Kuala Lumpur

**Hotel Accommodation**
Accommodation is not included in the conference fee. To reserve accommodation at the conference venue, please contact the hotel at +603 2145 9599 and make it clear that you are attending marcus evans conferences event quoting KL-GM844 as a reference.

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**Terms & Conditions:**
1. Fees are inclusive of program materials and refreshments.
2. Payment Terms: Following completion and return of the registration form, full payment is required within 5 days from receipt of invoice. PLEASE NOTE: payment must be received prior to the conference date. A receipt will be issued on payment. Due to limited conference space, we advise early registration to avoid disappointment. A 10% cancellation fee will be charged under the terms outlined below. We reserve the right to refuse admission if payment is not received prior to the conference.
3. Cancellation/Substitution: Provided the total fee has been paid, substitutions at no extra charge up to 14 days before the event are allowed. Substitutions between 14 days and the date of the event will be allowed subject to an administration fee of equal to 10% of the total fee that is to be transferred. Otherwise all bookings carry a 50% cancellation liability immediately after a signed sales contract has been received by marcus evans (as defined above).
Cancellations must be received in writing by mail or fax six (6) weeks before the conference is to be held in order to obtain a full credit for any future marcus evans conference. Thereafter, the full conference fee is payable and is non-refundable. The service charge is completely non-refundable and non-creditable. Payment terms are five days and payment must be made prior to the start of the conference. Nonpayment or non-attendance does not constitute cancellation. By signing this contract, the client agrees that in case of dispute or cancellation of this contract that marcus evans will not be able to mitigate to losses for any less than 50% of the total contract value. If, for any reason, marcus evans decides to cancel or postpone this conference, marcus evans is not responsible for covering airfare, hotel, or other travel costs incurred by clients. The conference fee will not be refunded, but can be credited to a future conference. Event program content is subject to change without notice.
4. Copyright etc: All intellectual property rights in all materials produced or distributed by marcus evans in connection with this event is expressly reserved and any unauthorized duplication, publication or distribution is prohibited.
5. Data Protection: Client confirms that it has requested and consented to marcus evans retaining client information on marcus evans group companies database to be used by marcus evans group companies and passed to selected third parties, to assist in communicating products and services which may be of interest to the client. If the client wishes to stop receiving such information please inform marcus evans local office or email leewp@marcusevansuk.com. For training and security purposes telephone calls may be recorded.
6. Important note: While every reasonable effort will be made to adhere to the advertised package, marcus evans reserves the right to change event dates, sites or location or omit event features, or merge the event with another event, as it deems necessary without penalty and in such situations no refunds, part refunds or alternative offers shall be made. In the event that marcus evans permanently cancels the event for any reason whatsoever, (including, but not limited to any force majeure occurrence) and provided that the event is not postponed to a later date nor is merged with another event, the Client shall receive a credit note for the amount that the Client has paid to such permanently cancelled event, valid for up to six months to be used at another marcus evans event. No refunds, part refunds or alternative offers shall be made.
7. Governing law: This Agreement shall be governed and construed in accordance with the law of Malaysia and the parties submit to the exclusive jurisdiction of whose Courts the Parties hereby agree to submit.

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**Fees**
☐ Conference fee @ USD1795 + GST / VAT (if applicable) per delegate
☐ Premier Plus - Bring 3 or more delegates to this event and benefit from a 10% SAVINGS off the regular price
☐ Online Documentation - USD295. You will be provided a username and password to access the documentation online

All options include lunch, refreshments & service charge. In accordance with delegate requests and our positioning as one of Asia’s foremost business intelligence providers, marcus evans will now make its conference documentation available online. A website and password will be provided to you approximately two weeks before the event.

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**Business Opportunities**
A limited amount of exhibition space is available at the conference. Sponsorship opportunities covering the lunch and documentation also exist. For further details contact John Karras on (603) 2723 6600 or e-mail johnk@marcusevanskl.com.